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 \mathbb{A}^{1} enumerative geometry

Bézout's theorem

Tropicalization

Tropical Bézout for curves

Enriched tropical curves

Generalizations

A quadratically enriched Bézout theorem for tropical curves joint with Andrés Jaramillo Puentes

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Universität Duisburg-Essen

Universität Bielefeld, 18.01.2023

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Example: Lines on a smooth cubic surface

#complex lines = 27 (Cayley-Salmon 1849)



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Example: Lines on a smooth cubic surface

- #complex lines = 27 (Cayley-Salmon 1849)
- signed count of real lines = 3 (Segre 1942)



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Example: Lines on a smooth cubic surface

- #complex lines = 27 (Cayley-Salmon 1849)
- signed count of real lines = 3 (Segre 1942)
- over an arbitrary field k (Kass-Wickelgren 2017)

 $15\langle 1
angle + 12\langle -1
angle \in \mathsf{GW}(k)$



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Figure: Clebsch cubic surface ¹

This is independent of the choice of smooth cubic surface.

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Let k be a field of characteristic $\neq 2$.

Definition: Grothendieck Witt ring of k

 $GW(k)\coloneqq$ group completion of semi-ring of isometry classes of non-degenerate quadratic forms over k, $\oplus,$ \otimes

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• generators:
$$\langle a
angle \coloneqq [ax^2]$$
 for $a \in k^{ imes}/(k^{ imes})^2$

1
$$\langle a \rangle + \langle b \rangle = \langle a + b \rangle + \langle ab(a + b) \rangle$$
 for $a, b, a + b \in k^{\times}$
2 $\langle a \rangle \langle b \rangle = \langle ab \rangle$ for $a, b \in k^{\times}$
3 $\langle a \rangle + \langle -a \rangle = \langle 1 \rangle + \langle -1 \rangle =: h$ for $a \in k^{\times}$

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Example

 $\mathbb{C}^{\times}/(\mathbb{C}^{\times})^2 \cong \{1\}$ GW(\mathbb{C}) $\cong \mathbb{Z}$

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Example	Example
$\mathbb{C}^{ imes}/(\mathbb{C}^{ imes})^2\cong\{1\}$	$\mathbb{R}^{ imes}/(\mathbb{R}^{ imes})^2\cong\{\pm 1\}$
$GW(\mathbb{C})\cong\mathbb{Z}$	$GW(\mathbb{R})\cong\mathbb{Z}[\mathcal{C}_2]$

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Example	Example
$\mathbb{C}^{ imes}/(\mathbb{C}^{ imes})^2\cong\{1\}$ GW(\mathbb{C}) $\cong \mathbb{Z}$	$\mathbb{R}^{ imes}/(\mathbb{R}^{ imes})^2\cong\{\pm 1\}$ $GW(\mathbb{R})\cong\mathbb{Z}[\mathcal{C}_2]$
Example	
$egin{aligned} \mathbb{F}_p^{ imes}/(\mathbb{F}_p^{ imes})^2&\cong\{1,a\}\ GW(\mathbb{F}_p)&\congrac{\mathbb{Z}[\langle a angle]}{(\langle a angle^2-1,2\langle a angle-2)} \end{aligned}$	 < => < => < => < => < => < < => <
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Example	Example		
$\mathbb{C}^{ imes}/(\mathbb{C}^{ imes})^2 \cong \{1\}$ $GW(\mathbb{C}) \cong \mathbb{Z}$	$\mathbb{R}^{ imes}/(\mathbb{R}^{ imes})^2 \cong \{\pm 1\}$ $GW(\mathbb{R}) \cong \mathbb{Z}[\mathcal{C}_2]$		
Example	Example		

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Generalizations

• Enumerative geometry: Count of solutions to geometric questions $(k = \bar{k})$, e.g. number of lines on a smooth cubic surface = 27

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- Enumerative geometry: Count of solutions to geometric questions $(k = \bar{k})$, e.g. number of lines on a smooth cubic surface = 27
- A¹-enumerative geometry: give enumerative results over an arbitrary field k valued in GW(k), i.e. a quadratic form, e.g. 15(1) + 12(-1) ∈ GW(k)

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- Input comes from A¹-homotopy theory/motivic homotopy theory = homotopy theory on algebraic varieties over k (Morel-Voevodsky)

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- Input comes from A¹-homotopy theory/motivic homotopy theory = homotopy theory on algebraic varieties over k (Morel-Voevodsky)
- A powerful tool to solve problems in enumerative geometry is to use tropical geometry: *tropicalalization* turns algebraic varieties into polytopes. This allows to solve problems in enumerative geometry using merely combinatorics.

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- Enumerative geometry: Count of solutions to geometric questions $(k = \bar{k})$, e.g. number of lines on a smooth cubic surface = 27
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- Input comes from A¹-homotopy theory/motivic homotopy theory = homotopy theory on algebraic varieties over k (Morel-Voevodsky)
- A powerful tool to solve problems in enumerative geometry is to use tropical geometry: *tropicalalization* turns algebraic varieties into polytopes. This allows to solve problems in enumerative geometry using merely combinatorics.
- **Today**: We use tropicalization for a problem in A¹-enumerative geometry.

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Generalizations

$$C_1 = V(F_1) \subset \mathbb{P}^2_{\mathbb{C}}, \ d_1 = \deg F_1$$

 $C_2 = V(F_2) \subset \mathbb{P}^2_{\mathbb{C}}, \ d_2 = \deg F_2$

Bézout's theorem for curves over $k = \mathbb{C}$

$$\sum_{p \in C_1 \cap C_2} 1 = d_1 \cdot d_2$$

Today all intersections are transverse.



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 $L = \mathbb{D}$

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Generalizations

$$egin{aligned} & \mathcal{K} &= \mathbb{R} \ & \mathcal{C}_1 = \mathcal{V}(\mathcal{F}_1) \subset \mathbb{P}^2_{\mathbb{R}}, \ d_1 = \deg \mathcal{F}_1 \ & \mathcal{C}_2 = \mathcal{V}(\mathcal{F}_2) \subset \mathbb{P}^2_{\mathbb{R}}, \ d_2 = \deg \mathcal{F}_2 \end{aligned}$$

Bézout's theorem for curves over $k = \mathbb{R}$



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 $L = \mathbb{D}$

p

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Generalizations

$$egin{aligned} & \kappa = \mathbb{R} \ & C_1 = V(F_1) \subset \mathbb{P}^2_{\mathbb{R}}, \, d_1 = \deg F_1 \ & C_2 = V(F_2) \subset \mathbb{P}^2_{\mathbb{R}}, \, d_2 = \deg F_2 \end{aligned}$$

Bézout's theorem for curves over $k = \mathbb{R}$

If $d_1 + d_2 \equiv 1 \mod 2$, then

$$\sum_{\in C_1 \cap C_2} \operatorname{sign}(\det \operatorname{Jac}(F_1, F_2)(p)) = 0.$$



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<sup>A¹enumerative
geometry
</sup>

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Generalizations

$$\begin{aligned} &k = \text{arbitrary} \\ &C_1 = V(F_1) \subset \mathbb{P}^2_k, \ d_1 = \deg F_1 \\ &C_2 = V(F_2) \subset \mathbb{P}^2_k, \ d_2 = \deg F_2 \end{aligned}$$

Bézout's theorem for curves over k (McKean 2021)

If $d_1+d_2\equiv 1 \mod 2$, then

$$\sum_{p \in C_1 \cap C_2} \mathsf{Tr}_{k(p)/k} \langle \det \mathsf{Jac}(F_1, F_2)(p) \rangle = \frac{d_1 \cdot d_2}{2} \cdot h \in \mathsf{GW}(k)$$

Here, $\operatorname{Tr}_{L/k}\langle a \rangle$ is the quadratic form

$$L \xrightarrow{\langle a \rangle} L \xrightarrow{\operatorname{Tr}_{L/k}} k$$

for a finite separable field extension L/k.

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Generalizations

Definition (the field of Puiseux series over k)

$$egin{aligned} &k\{\{t\}\} \coloneqq igcup_{n \geq 1} k((t^{rac{1}{n}})) \ &= \{a_0 t^{q_0} + a_1 t^{q_1} + \dots | a_i \in k, \end{aligned}$$

 $q_i \in \mathbb{Q}$ have a common denominator and $q_0 < q_1 < \ldots \}$

Lemma (Markwig-Payne-Shaw)

 $GW(k\{t\}\}) \cong GW(k)$

Proof.

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Proof.

1 have bijection $k\{\{t\}\}^{\times}/(k\{\{t\}\}^{\times})^2 \cong k^{\times}/(k^{\times})^2$ defined by $a_0t^{q_0} + a_1t^{q_1} + \ldots \mapsto a_0$

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Generalizations

Definition (the field of Puiseux series over k)

$$\{\{t\}\} \coloneqq \bigcup_{n \ge 1} k((t^{\frac{1}{n}}))$$

= $\{a_0 t^{q_0} + a_1 t^{q_1} + \dots | a_i \in k,$

 $q_i \in \mathbb{Q}$ have a common denominator and $q_0 < q_1 < \ldots \}$

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 $GW(k\{t\}) \cong GW(k)$

Proof.

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- 1 have bijection $k\{\{t\}\}^{\times}/(k\{\{t\}\}^{\times})^2 \cong k^{\times}/(k^{\times})^2$ defined by $a_0t^{q_0} + a_1t^{q_1} + \ldots \mapsto a_0$
- 2 this defines an isomorphism $\langle a_0 t^{q_0} + \ldots \rangle \mapsto \langle a_0 \rangle$ (respects the relations in the Grothendieck-Witt rings)

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Generalizations

Let

with

$$F(x,y) = a(t)x + b(t)y + c(t) \in k\{\{t\}\}[x,y]$$

$$\begin{aligned} s(t) &= a_0 t^{q_{a_0}} + a_1 t^{q_{a_1}} + \dots \\ b(t) &= b_0 t^{q_{b_0}} + b_1 t^{q_{b_1}} + \dots \\ c(t) &= c_0 t^{q_{c_0}} + c_1 t^{q_{c_1}} + \dots \end{aligned}$$

Tropicalization

Let

with

$$F(x,y) = a(t)x + b(t)y + c(t) \in k\{\{t\}\}[x,y]$$

$$\begin{aligned} \mathsf{a}(t) &= a_0 t^{q_{a_0}} + a_1 t^{q_{a_1}} + \dots \\ \mathsf{b}(t) &= b_0 t^{q_{b_0}} + b_1 t^{q_{b_1}} + \dots \\ \mathsf{c}(t) &= c_0 t^{q_{c_0}} + c_1 t^{q_{c_1}} + \dots \end{aligned}$$

Want to find

$$x(t) = x_0 t^{-q_{x_0}} + \dots, y(t) = y_0 t^{-q_{y_0}} + \dots \in \overline{k\{\{t\}\}}$$
 such that
 $0 = F(x(t), y(t)) = a_0 x_0 t^{q_{a_0} - q_{x_0}} + \text{h.o.t.}$
 $+ b_0 y_0 t^{q_{b_0} - q_{y_0}} + \text{h.o.t.}$
 $+ c_0 t^{q_{c_0}} + \text{h.o.t.}$

Tropicalization

Let

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$$F(x,y) = a(t)x + b(t)y + c(t) \in k\{\{t\}\}[x,y]$$

$$\begin{aligned} a(t) &= a_0 t^{q_{a_0}} + a_1 t^{q_{a_1}} + \dots \\ b(t) &= b_0 t^{q_{b_0}} + b_1 t^{q_{b_1}} + \dots \\ c(t) &= c_0 t^{q_{c_0}} + c_1 t^{q_{c_1}} + \dots \end{aligned}$$

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 $+ b_0 y_0 t^{q_{b_0} - q_{y_0}} + \text{h.o.t.}$
 $+ c_0 t^{q_{c_0}} + \text{h.o.t.}$

This can be solved exactly when

$$\min(q_{a_0}-q_{x_0},q_{b_0}-q_{y_0},q_{c_0})$$

is attained at least twice

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Want to find

$$x(t) = x_0 t^{-q_{x_0}} + \dots, y(t) = y_0 t^{-q_{y_0}} + \dots \in \overline{k\{\{t\}\}} \text{ such that}$$

$$0 = F(x(t), y(t)) = a_0 x_0 t^{q_{a_0} - q_{x_0}} + \text{h.o.t.}$$

$$+ b_0 y_0 t^{q_{b_0} - q_{y_0}} + \text{h.o.t.}$$

$$+ c_0 t^{q_{c_0}} + \text{h.o.t.}$$

This can be solved exactly when $\max(-(q_{a_0} - q_{x_0}), -(q_{b_0} - q_{y_0}), -q_{c_0})$ is attained at least twice \rightsquigarrow tropical line. g_{y_0}

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$$\begin{split} F(x,y) &= \sum_{ij,0} a_{ij}(t) x^i y^j \in k\{\{t\}\}[x,y] \text{ of degree } d \geq 1 \text{ with } \\ a_{ij}(t) &= a_{ij,0} t^{q_{ij,0}} + \ldots \in k\{\{t\}\} \text{ has a zero} \\ (x(t) &= x_0 t^{-q_{x_0}} + \ldots, y(t) = y_0 t^{-q_{y_0}} + \ldots) \text{ exactly when} \\ &\max_{ij} (iq_{x_0} + jq_{y_0} - q_{ij,0}) \end{split}$$

is attained twice.

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$$\begin{split} F(x,y) &= \sum_{ij,0} a_{ij}(t) x^i y^j \in k\{\{t\}\}[x,y] \text{ of degree } d \ge 1 \text{ with} \\ a_{ij}(t) &= a_{ij,0} t^{q_{ij,0}} + \ldots \in k\{\{t\}\} \text{ has a zero} \\ (x(t) &= x_0 t^{-q_{x_0}} + \ldots, y(t) = y_0 t^{-q_{y_0}} + \ldots) \text{ exactly when} \\ &\max_{ij} (iq_{x_0} + jq_{y_0} - q_{ij,0}) \end{split}$$

is attained twice.

We call the locus where the maximum is attained at least twice a *tropical curve* of degree d.

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$$F(x,y) = \sum_{ij,0} a_{ij}(t) x^{i} y^{j} \in k\{\{t\}\}[x,y] \text{ of degree } d \ge 1 \text{ with} \\ a_{ij}(t) = a_{ij,0} t^{q_{ij,0}} + \ldots \in k\{\{t\}\} \text{ has a zero} \\ (x(t) = x_0 t^{-q_{x_0}} + \ldots, y(t) = y_0 t^{-q_{y_0}} + \ldots) \text{ exactly when} \\ \max_{ij} (iq_{x_0} + jq_{y_0} - q_{ij,0})$$

is attained twice.

We call the locus where the maximum is attained at least twice a *tropical curve* of degree d.



Figure: Tropical curves of degree 1, 2 and 3

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is attained twice.

We call the locus where the maximum is attained at least twice a *tropical curve* of degree d.



Figure: Tropical curves of degree 1, 2 and 3

Observe: degree of a tropical curve = #unbounded edges pointing to the left, down and to the upper right

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Generalizations

 $F_1, F_2 \in k\{\{t\}\}[x, y]$ of degree d_1 and $d_2 \rightsquigarrow$ tropical curves C_1 , C_2 , $p \in C_1 \cap C_2$

Definition (tropical intersection multiplicity)

 $\operatorname{mult}_p(C_1, C_2) \coloneqq \# \text{ points in } \{F_1 = F_2 = 0\} \text{ that "tropicalize" to } p$

Bézout for tropical curves (Sturmfels)

pe

Let C_1 and C_2 be two tropical curves of degree d_1 and d_2 , respectively. Then

$$\sum_{\in C_1 \cap C_2} \operatorname{\mathsf{mult}}_p(C_1, C_2) = d_1 \cdot d_2.$$

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Generalizations

 $F_1,F_2\in k\{\{t\}\}[x,y]$ of degree d_1 and $d_2 \rightsquigarrow$ tropical curves $C_1,\ C_2,\ p\in C_1\cap C_2$

Definition (tropical intersection multiplicity)

 $\operatorname{mult}_p(C_1, C_2) \coloneqq \#$ points in $\{F_1 = F_2 = 0\}$ that "tropicalize" to p

Bézout for tropical curves (Sturmfels)

Let C_1 and C_2 be two tropical curves of degree d_1 and d_2 , respectively. Then

$$\sum_{p \in C_1 \cap C_2} \operatorname{mult}_p(C_1, C_2) = d_1 \cdot d_2.$$



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subdivision S of $\Delta_d \coloneqq \mathsf{Conv}\{(0,0), (d,0), (0,d)\}$

tropical curve C	dual subdivision S
vertices of C	maximal cells in S
edges of C	edges of S
components of $\mathbb{R}^2 \setminus C$	vertices of S

such that

- all inclusions are inverted
- dual edges are orthogonal



Figure: A tropical conic with its dual subdivision

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C_1 and C_2 tropical curves of degree d_1 respectively d_2 S dual subdivision of $C_1 \cup C_2$ Intersection points of C_1 and $C_2 \longleftrightarrow$ Parallelograms in S

Lemma

 $\operatorname{mult}_p(C_1, C_2) := \operatorname{Area}(\operatorname{dual} \operatorname{parallelogram})$



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 $\sum \operatorname{mult}_p(C_1, C_2)$ $p \in C_1 \cap C_2$



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$$\sum_{C_1 \cap C_2} \mathsf{mult}_p(C_1, C_2)$$

$$p \in C_1 \cap$$

$$= \frac{\mathsf{Area}(\Delta_{d_1+d_2})}{\mathsf{Area}(\Delta_{d_1})} - \mathsf{Area}(\Delta_{d_2})$$



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Proof of Bézout for tropical curves.

$$\sum_{p \in \mathcal{D}} \mathsf{mult}_p(C_1, C_2)$$

$$p \in C_1 \cap C_2$$

$$= \mathsf{Area}(\Delta_{d_1+d_2}) - \overline{\mathsf{Area}(\Delta_{d_1})} - \mathsf{Area}(\Delta_{d_2})$$

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$$\sum_{p \in C_1 \cap C_2} \mathsf{mult}_p(C_1, C_2)$$

$$= \operatorname{Area}(\Delta_{d_1+d_2}) - \operatorname{Area}(\Delta_{d_1}) - \operatorname{Area}(\Delta_{d_2})$$
$$= \frac{(d_1 + d_2)^2}{2} - \frac{d_1^2}{2} - \frac{d_2^2}{2} = d_1 \cdot d_2$$



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Enriched tropical curves

$$F_1, F_2 \in k\{\{t\}\}[x, y] \rightsquigarrow$$
 tropical curves $C_1, C_2, p \in C_1 \cap C_2$

Definition: enriched intersection multiplicity (Jaramillo Puentes - P.)

$$\widetilde{\mathsf{mult}}_p(C_1, C_2) \coloneqq \mathsf{Tr}_{E/k\{\{t\}\}}(\langle \det \mathsf{Jac}(F_1, F_2)(z) \rangle) \in \mathsf{GW}(k\{\{t\}\})$$

where z is a zero of F_1 and F_2 that tropicalizes to p and E is the $k\{\{t\}\}\$ -algebra defined by all such z.

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Definition: Enriched tropical curve (Viro, Markwig-Payne-Shaw, Jaramillo Puentes-P.)

tropical curve with *coefficients* $a \in k^{\times}/(k^{\times})^2$ assigned to each component/each vertex in dual subdivision



Figure: enriched tropical conic

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Say $v \in \mathbb{Z}^2$ is odd if $v = (1,1) \in (\mathbb{Z}/2)^2$.

Theorem (Jaramillo Puentes - P.)

P= parallelogram dual to $p\in \mathcal{C}_1\cap \mathcal{C}_2$ in dual subdivision of $\mathcal{C}_1\cup \mathcal{C}_2$

$$\widetilde{\mathsf{mult}}_p(C_1,C_2) = \sum_{v \in V(P) \text{ odd}} \langle \epsilon_P(v) a_v \rangle + \frac{\mathsf{Area}(P) - \#\{v \in V(P) \text{ odd}\}}{2} \cdot h$$

 $a_{v} = \text{coefficient of the vertex } v$ $\epsilon_{P}(v) = \begin{cases} +1 & \text{if first } C_{1} \text{ then } C_{2} \\ -1 & \text{if first } C_{2} \text{ then } C_{1} \end{cases}$ when walking around v inside of P anticlockwise

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$\widetilde{\mathsf{mult}}_p(C_1,C_2) = \langle -a_v \rangle$

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• $\widetilde{\operatorname{mult}}_p(C_1, C_2) = \langle -a_v \rangle$

$$\widetilde{\mathsf{mult}}_{p}(C_{1}, C_{2}) + \widetilde{\mathsf{mult}}_{q}(C_{1}, C_{2})$$
$$= \langle -a_{v} \rangle + \langle a_{v} \rangle = h \in \mathsf{GW}(k)$$

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Corollary: Quadratically enriched Bézout for tropical curves

$$\sum_{p \in C_1 \cap C_2} \widetilde{\mathsf{mult}}_p(C_1, C_2) = \frac{d_1 \cdot d_2}{2} \cdot h \in \mathsf{GW}(k)$$

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 $\widetilde{\mathsf{mult}}_{p_1}(C_1,C_2)=\langle -a_{v_1}\rangle$

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$$\begin{split} \widetilde{\mathsf{mult}}_{p_1}(C_1,C_2) &= \langle -a_{v_1} \rangle \\ \widetilde{\mathsf{mult}}_{p_2}(C_1,C_2) &= \langle a_{v_1} \rangle + \langle a_{v_2} \rangle \end{split}$$

Corollary: Quadratically enriched Bézout for tropical curves

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$$\sum_{p \in C_1 \cap C_2} \widetilde{\mathsf{mult}}_p(C_1, C_2) = \frac{d_1 \cdot d_2}{2} \cdot h \in \mathsf{GW}(k)$$

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 $\widetilde{\mathsf{mult}}_{p_1}(C_1, C_2) = \langle -a_{v_1} \rangle$ $\widetilde{\mathsf{mult}}_{p_2}(C_1, C_2) = \langle a_{v_1} \rangle + \langle a_{v_2} \rangle$ $\widetilde{\mathsf{mult}}_{p_3}(C_1, C_2) = \langle -a_{v_2} \rangle + h$

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 $\widetilde{\mathsf{mult}}_{p_1}(C_1, C_2) = \langle -a_{v_1} \rangle$ $\widetilde{\mathsf{mult}}_{p_2}(C_1, C_2) = \langle a_{v_1} \rangle + \langle a_{v_2} \rangle$ $\widetilde{\mathsf{mult}}_{p_3}(C_1, C_2) = \langle -a_{v_2} \rangle + h$ $\sum_{i=1}^3 \widetilde{\mathsf{mult}}_{p_i}(C_1, C_2) = 3 \cdot h$

Corollary: Quadratically enriched Bézout for tropical curves

$$\sum_{p \in C_1 \cap C_2} \widetilde{\mathsf{mult}}_p(C_1, C_2) = \frac{d_1 \cdot d_2}{2} \cdot h \in \mathsf{GW}(k)$$

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Corollary: Quadratically enriched Bézout for tropical curves (Jaramillo Puentes - P.)

Assume $d_1 + d_2 \equiv 1 \mod 2$, then

$$\sum_{p \in C_1 \cap C_2} \widetilde{\operatorname{mult}}_p(C_1, C_2) = \frac{d_1 \cdot d_2}{2} \cdot h \in \operatorname{GW}(k).$$

Proof.

If $d_1 + d_2 \equiv 1 \mod 2$ then there are no odd points on the boundary of $\Delta_{d_1+d_2}$.



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If $d_1 + d_2 \equiv 1 \mod 2$ then there are no odd points on the boundary of $\Delta_{d_1+d_2}$.

Let v be a lattice point in the interior of $\Delta_{d_1+d_2}$. Then

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1 # parallelograms corresponding to an intersection with vertex v is even.



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Proof.

If $d_1+d_2\equiv 1\mod 2$ then there are no odd points on the boundary of $\Delta_{d_1+d_2}.$

Let v be a lattice point in the interior of $\Delta_{d_1+d_2}$. Then

- 1 # parallelograms corresponding to an intersection with vertex v is even.
- 2 #{P : v vertex of $P, \epsilon_P(v) = +1$ } = #{P : v vertex of $P, \epsilon_P(v) = -1$ }

Now the relation $\langle a_v \rangle + \langle -a_v \rangle = h$ in GW(k) implies the corollary.

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■ Can define *enriched tropical hypersrufaces* in any dimension ~>> enriched tropical Bézout (not just for curves) ⇒ new proof of Bézout's theorem enriched in GW(k)

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- Can define *enriched tropical hypersrufaces* in any dimension ~>→ enriched tropical Bézout (not just for curves) ⇒ new proof of Bézout's theorem enriched in GW(k)
- Can count intersections in any toric variety → enriched Bernstein-Kushnirenko theorem.

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- Can also say something about the possible counts in non-relatively orientable case (e.g. when $d_1 + d_2 \equiv 0 \mod 2$).

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THANK YOU!